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Confused or not: decoding brain activity and recognizing confusion in reasoning learning using EEG

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Abstract

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Objective. Confusion is the primary epistemic emotion in the learning process, influencing students' engagement and whether they become frustrated or bored. However, research on confusion in learning is still in its early stages, and there is a need to better understand how to recognize it and what electroencephalography (EEG) signals indicate its occurrence. The present work investigates confusion during reasoning learning using EEG, and aims to fill this gap with a multidisciplinary approach combining educational psychology, neuroscience and computer science. Approach. First, we design an experiment to actively and accurately induce confusion in reasoning. Second, we propose a subjective and objective joint labeling technique to address the label noise issue. Third, to confirm that the confused state can be distinguished from the non-confused state, we compare and analyze the mean band power of confused and unconfused states across five typical bands. Finally, we present an EEG database for confusion analysis, together with benchmark results from conventional (Naive Bayes, Support Vector Machine, Random Forest, and Artificial Neural Network) and end-to-end (Long Short Term Memory, Residual Network, and EEGNet) machine learning methods. Main results. Findings revealed: 1. Significant differences in the power of delta, theta, alpha, beta and lower gamma between confused and non-confused conditions; 2. A higher attentional and cognitive load when participants were confused; and 3. The Random Forest algorithm with time-domain features achieved a high accuracy/F1 score (88.06%/0.88 for the subject-dependent approach and 84.43%/0.84 for the subject-independent approach) in the binary classification of the confused and non-confused states. Significance. The study advances our understanding of confusion and provides practical insights for recognizing and analyzing it in the learning process. It extends existing theories on the differences between confused and non-confused states during learning and contributes to the cognitive-affective model. The research enables researchers, educators, and practitioners to monitor confusion, develop adaptive systems, and test recognition approaches.

1. Introduction and Background

The state of cognitive disequilibrium is characterized by an inconsistency between an individual's cognitive schema and the new information [1-3]. It is closely tied to learning activities that involve absorbing knowledge, reasoning, or solving problems [4-6]. As a result of cognitive disequilibrium, students are fostered to think deeply and gain a more profound understanding of the learning subjects and materials. Confusion is an important emotional indicator of cognitive disequilibrium [1, 7]. Students feel confused when their cognitive structures are not consistent with the new information, or when they seek rules in logical reasoning or solving problems, but cannot progress further [8–10]. According to the model of affect dynamics [1], confusion is a primary epistemic emotion that correlates to learners' engagement,

frustration, and boredom. Furthermore, confusion is more common in learning than other emotions [5, 7, 11]. Although confusion is an unpleasant emotion, the behavior of resolving confusion during a controllable period has been proved to be beneficial for learning [12–14], fostering students to engage highly in learning activities. To improve students' deep engagement and learning outcomes, researchers integrate specific tasks in games and educational applications to manage optimal confusion [5, 15]. However, the study of confusion in learning is still in its infancy. More research is required to determine what features can represent confusion, and what brain functions are associated with this epistemic emotion.

Recognizing, monitoring, and analyzing confusion is the prerequisite of academic emotion regulation [16] and adaptive learning intervention, offering an opportunity to improve learning experience and outcomes. Researchers have measured confusion using various methods, including selfreports [12], facial expressions [17], dialogues [18], eye-tracking [19], and physiological measures [6, Electroencephalography (EEG) is a physiological and objective measure that has three-fold advantages over other methods for recognizing emotions [21-23]. First, EEG directly reflects the intrinsic mental states of human beings. Second, it discloses the variation of mental states over time due to its good temporal resolution in nature. Third, only a few simple preparations are required to obtain accurate brainwave data through portable EEG [24] compared with other physiological methods. Research on confusion in learning is still in its early stages. On the one hand, most current studies do not go beyond engagement and workload [25, 26]. On the other hand, a literature search revealed that there are few studies to date on the monitoring and analysis of confusion using EEG signals. For example, Liang et al studied alpha band changes of confusion states induced by problem-solving and reasoning [27]. Reñosa et al used the power spectrum of all EEG frequencies as features and artificial neural networks (ANNs) to classify low, medium and high levels of confusion [28]. Zhou et al [6] used a commercially available device to collect EEG data and proposed an end-toend method to classify two states: confused and nonconfused, using a labeling technique based on participants' self-assessment. However, there is still a lack of research on what the EEG shows during confusion. Additionally, compared to other emotions, no previous research has built annotated confusion datasets or databases to serve as a benchmark for the development of recognition methods. Therefore, there is an urgent need to build databases for recognizing and analyzing students' confusion during learning.

To decode brain activity associated with confusion and recognize this emotion using EEG and achieve breakthroughs, many disciplinary approaches are required [29]. For instance, establishing elicitation techniques and adopting an appropriate theoretical framework of emotion require psychology. Understanding how affective emotions are represented in the EEG is made easier by neuroscience. The most promising field for obtaining reliable features for affective computing as well as accurately and constantly interpreting affective state is information technology. As a result, the present work fills the gap on confusion in learning by combining approaches from educational psychology, neuroscience, and computer science.

In this work, we design and conduct an experiment to invoke confusion using logical reasoning tests based on the [6]. Inferential learning is one of the most essential parts of learning, referring to the learning which enables people to construct new knowledge by thinking [30]. When people are reasoning and struggle to find a solution, they experience cognitive disequilibrium and feel confused. We then propose a subjective and objective joint labeling technique to categorize states related to confusion, and provide an EEG database with benchmark results for recognizing and analyzing this epistemic emotion. The results showed that the power in the delta, theta, alpha, beta, and lower gamma bands significantly differed between the confused and nonconfused conditions. This indicates that learning targets requires more attention and cognitive resources when an individual is confused. We also observed a higher cognitive load and attention when people felt confused by analyzing theta and alpha power. We provide CAL database—an EEG database for Confusion Analysis in Learning. CAL focuses on the emotion connected to cognitive activities occurring in learning. Furthermore, we extracted six features from the frequency, spatial, and temporal domains, and then conducted binary classification (confused, nonconfused) and four-class classification (confused, non-confused, think-right, and guess) on subjectsdependent and subject-independent tasks respectively. We evaluated three end-to-end and four conventional machine learning methods on accuracy and F1 score. These comparisons are served as a benchmark for developing recognition methodologies and educational tools, and lays the groundwork for future efforts.

The layout of the paper is as follows. In section 2, we review the role of confusion in learning, cognitive states and band power variations, EEG-based emotion detection methods, and datasets/databases for emotion analysis. We present the stimuli selection and experiment design in section 3 and the experiment setup in section 4. We illustrate confusion emotion analysis in section 5 and the methodology of confusion recognition in section 6. In section 7, we discuss the findings with the limitations and future research objectives. We conclude in the final section.

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2. Relevant literature

2.1. The role of confusion in learning

Literally, confusion in learning is the feeling of being confused when absorbing knowledge or working on a problem. Existing studies attempt to depict confusion as an emotion or an affective state due to its properties shared with the affect. In the work [8], confusion is considered to be an affective state, emerging as a product of an individual's appraisals of relevant events. Some work identified confusion as an emotion, more accurately, a learning emotion [22], an academic emotion [31], or an epistemic emotion [14]. Despite the lack of a unified understanding on a definition of 'confusion' in learning and a lack of studies on its neural substrates, it has distinct properties, such as a connection to cognition and a dual-sided role in learning.

Confusion is identified as a transitional emotion [1] according to the model of confusion dynamics [8]. Confusion benefits or harms the learning due to this transition property [1, 8, 13, 32]. When the confusion is resolved, the observed model follows the cycle of engagement-confusion-engagement. Otherwise, there will be a confusion-frustration and frustration-disengagement transition [8]. Most recent works have verified this dual-sided role of confusion in learning. The key point determining whether confusion produces positive or negative outcomes is resolution. As a result, identifying confusion is a requirement for learning intervention, which provides an opportunity to improve the learning experience and outcomes.

Confusion is highly relevant to learning activities like reasoning or problem solving, which occurs when the inconsistency triggers a cognition disequilibrium resulting in uncertainty about how to move further [8, 13, 33]. Confusion represents disequilibrium in cognition. To overcome the cognitive disequilibrium and move forward, the individual should reflect on materials and process them at deeper levels of comprehension. As a result, once confused, the individual engages in profound thinking and deep learning.

The research on confusion in learning, particularly in the context of reasoning and problem-solving, is still in its infancy.

2.2. Cognitive states and band power variations

EEG records an electrogram of scalp electrical activity that represents macroscopic brain activity. Most EEG applications for educational purposes are noninvasive. The primary EEG frequencies consist of six wave patterns: delta (2–4 Hz), theta (4–8 Hz), alpha (8–12 Hz), beta (12–30 Hz), lower gamma (30– 70 Hz), and upper gamma (70–150 Hz) [34]. But there are no clear lines that separate the bands. Research has shown that delta, theta, alpha, beta, and lower gamma are significantly associated to cognitive and affective states in humans, representing the performance of cognitive processes [34–39]. Therefore, one way to understand how the brain works during cognitive and emotional states is to look at EEG data and mine EEG patterns.

The most recent EEG studies on cognitive state and emotion for educational purposes focus on attention or engagement [40–44], cognitive load [45, 46] and some basic emotions like happiness and fear [22, 26]. For instance, the EEG-based brain-computer interfaces (BCI) in the PAY ATTENTION study [41] collected EEG at the FP1 site to track changes in attention. The adaptive agent robot employed visual and auditory cues like rhythmic hand raising to help students redirect their attention when it fell below the predetermined threshold. The proposed BCI has been proved to enhanced the learning performance. Theta band power is thought to increase with cognitive resource demand and this rise is most noticeable in the frontal-central regions [47-52]. In addition, it has been found that alpha band power decreases as task difficulty increases [53, 54]. However, the findings on how alpha band power varies with increased task difficulty or the number of concurrent tasks are mixed. Results from several studies on the alpha band revealed an increase in alpha band power that was similar to the theta band's pattern [40, 55, 56].

As the importance of confusion studies has been recognized by researchers, they have begun to investigate the band changes associated with confusion. For example, Liang et al examined alpha band changes of confusion states induced by problem solving and reasoning [27]. They found that confusion can cause more brain activity in the cortical regions associated with the tasks that cause confusion. They also found that the frontal region is associated with the processing of novel or unfamiliar information, and the parietal-temporal regions are involved in sustained attention or reorientation during confusion induced by lack of information. However, there is still a lack of research on what the EEG shows during confusion and the relationship between attention and cognitive load as reflected in brain activity. Thus, in this paper, we investigate the band power differences between confused and non-confused conditions, and discuss their indications.

2.3. EEG-based emotion detection methods

Arguel *et al* review self-report, behavioral, and physiological methods for detecting confusion in digital learning environments [57]. Behavioral responses include facial expressions, postures, conversational cues, and learner–computer interaction. For example, Pachman *et al* used eye-tracking to detect confusion and explored the correlation of self-report and fixation data [19]. Physiological responses include electrodermal activity, heart rate and heart rate variability, brain imaging, and pupillometry. For example, Wang *et al* employed lecture clips to induce

Datasets/databases	Emotion categories	Emotional stimuli	Labeling method	Participant number
DEAP [63]	Arousal, valence and dominance	Music and video	Self-assessment	32
MAHNOB-HCI [64]	Neural, anxiety, amusement, sadness, joy, disgust, anger, surprise, and fear	Film clips	Self-assessment	27
SEED [65]	Positive, neutral and negative	Film clips	Self-assessment	15
HR-EEG4EMO [66]	Positive (amusement and tenderness) and negative (anger, fear, disgust, and sadness)	Film clips	Self-assessment	27
ASCERTAIN [67]	Affect (described in dimensional model-arousal and valence) and personality	Film clips	Self-assessment	58
AMIGOS [68]	Affect (basic emotions: neutral, disgust, happiness, surprise, anger, fear and sadness), personality, and mood	Film clips	Self-assessment	40
DREAMER [69]	Amusement, excitement, happiness, calmness, anger, disgust, fear, sadness and surprise	Film clips	Self-assessment	23
MPED [70]	Joy, funny, anger, fear, disgust, neutrality	Video clips	Self-assessment	30
CAL (This work)	Confused, non-confused, guess, think-right	Tests	Subjective and objective joint labeling	23

Table 1. The comparison of the existing related datasets/databases and CAL.

confusion and collect EEG for detection [58]. Among these methods, EEG has three advantages over others for recognizing emotions. It reflects human mental states directly and can reveal mental state changes over time. Furthermore, portable EEG requires few simple preparations to obtain accurate brainwave data.

Methods for detecting cognitive and affective states include the use of indices, as described in section 2.2, and machine learning techniques. Machine-learning techniques are novel and effective tools for EEG research and application. We synthesize readings on EEG data classification and divide current approaches into two types: conventional and end-to-end. In conventional classification, EEG signals are filtered in the time, frequency, and spatial domains to extract features. Then, these features are used to train a classifier. Due to its good performance on EEG data and suitability for small sample size, support vector machine (SVM) is one of the most popular algorithms for building classifiers in EEG-based brain-computer interfaces [22, 59]. An ANN is a machine learning model inspired by the structure and function of the human brain, which has been used for EEG classification. For example, based on the EEG data collected by Wang *et al* [20], Reñosa et al used the power spectrum of all the brain wave frequencies as features and ANNs to classify low, medium and high levels of confusion [28]. The end-to-end approach can build a classifier from raw EEG data without handcrafted features, which is useful when it is unclear what features to extract [60, 61]. It eliminates feature extraction and selection by using a single neural network. For example, Zhou

et al [6] investigated the induction of confusion and the feasibility of detecting confusion using EEG. They used commercial device to collect EEG data and proposed an end-to-end method to classify two states: confused and non-confused. The data were labeled based on participants' self-assessment.

2.4. Datasets/databases for emotion analysis

Before detecting emotions, it is important to evoke them precisely. People react differently to the same materials, causing emotional differences. Most current research uses self-assessment to measure and reduce the discrepancy between the desired and evoked emotion. Pictures, sound or music clips, and video clips are the most used stimulus materials in the emotion analysis. In recent years, standard databases of movie clips to evoke basic emotions have been proposed and build [62], although the number is still limited. To trigger learning emotions, researchers have attempted to use tests, pedagogical contents, pictures, sounds, and courses video clips. For example, Lehman et al employed pedagogical contents to trigger emotions like confusion, frustration, anxiety, and curiosity in one-to-one expert tutoring sessions [11]. Wang et al used courses clips that were selected from the Coursera to trigger the confusion in learning [58]. In conclusion, a high-quality induction is essential to the success of high-quality EEG data for emotion recognition.

As shown in table 1, we listed the most recent related datasets and databases and compared them with CAL. In addition to using movie clips or videos as visual-audio stimuli, some studies have used the International Affective Picture System as visual stimulation and the International Affective Digitized Sound System as auditory stimulation [71–75]. Our focus is on public datasets as the CAL is publicly accessible. Therefore, we included only publicly available datasets or databases in table 1.

After exploring these databases and comparing their characteristics, we found that most available databases focus on the common emotions, described by dimensional or discrete emotion models. The stimuli in these databases are video clips, and methods of labeling data are mainly based on selfassessment, except one also uses external annotation by annotators. Labeling technique is the core to the quality of the data. Most existing studies, such as DEAP [63], MAHNOB-HCI [64], and SEED [65] adopted the self-assessment method to label, which is subjective. The cultural background and education level may affect the perception of stimuli materials and result in a nuanced emotion. Researchers have attempted to eliminate the deviation between the ground truth and the perception. However, it is inevitable to introduce human subjective error. An accurate labeling technique is a challenge for the experimental design.

To the best of our knowledge, there are no datasets or databases for investigating learning emotions, especially confusion from physiological signals, and no dataset or database using tests as stimuli. CAL is an EEG database that uses reasoning tests to evoke confusion.

3. Experiment design

This work focuses on the confusion that is evoked in inferential learning. The stimuli and experiment design should meet the following requirements:

- (i) Ensure that the subject is involved in logic reasoning tasks, which are not related to knowledge and culture, and can be applied to everyone;
- (ii) Ensure that the subject gets confused when performing some tasks.
- (iii) Ensure that the EEG data are labeled accurately.
- (iv) Ensure that the EEG data are collected with the least noise.

3.1. Stimuli selection

Although researchers attempt to evoke the emotion accurately, there still is a gap between the pre-assigned stimulus and the evoked emotions. To solve these two issues and obtain valid labeled data for CAL, we carefully designed the experiment and compared students' test results with their perceptions.

To meet the first requirement, we employ Raven's Progressive Matrices [76] as confusion stimuli to design the experiment. The Raven's test is a nonverbal psychological test used to measure human intelligence and abstract reasoning. The visual geometric design is independent of education and



cultural background. It is suitable for subjects spanning an extensive range of ages and professions, making the subjects focus on inference. As shown in figure 1, it consists of 3×3 visual geometric matrix with a missing piece. The missing part is in the third row and third column. The task is to find the correct missing piece via logic inferring. Two assumptions hide in the matrix [77]: (1) shapes in a single row or column are related following some image transformation, and (2) parallel rows or columns share the same image transformation.

3.2. Confusion induction

How to make sure the subject is confused for logical inference is the core issue in our experiment. Confusion occurs in the period from when people begin to work on the problem to before the time the problem is solved. We design an approach letting answering time be less than required. The difficulty of the test makes it impossible for most people to find a solution within a limited time. The method is to choose more complex test items and limit answering time.

Raven's Progressive Matrices consist of Raven's Standard Progress Matrices (SPM) and Raven's Advanced Progressive Matrices (APM). The problemsolving strategies of each group are similar, but the difficulty is increasing. SPM tests general abstract reasoning abilities such as visual discrimination, graphic imagination, comparison, reasoning, and series relationship. SPM is in the form of five groups of test items from easy to difficult: A, B, C, D, and E, and each group contains 12 test items. APM is designed for testing extraordinary intelligence and is more complex than SPM. It consists of 36 test items.



We conducted a pilot study to determine test items and time by recruiting 15 volunteer students (mean = 22.29, SD = 0.73). In this pilot study, we asked each subject to try their best to answer all the test items of APM and SPM regardless of time. We recorded the accuracy and the answering time. We produce statistics on groups (APM is considered a standalone along with five groups of SPM). As shown in figure 2, from the results of six groups (five groups of SPM plus APM as a whole group), it is obvious that participants took longer to answer the test items but performed worse as the difficulty increased. The accuracy of the SPM E group and APM group is around 60% along with the answering time of more than 40 s.

The adult with regular attention can stay focused on a task within 20 min [78]. Therefore, the entire test time is controlled within 20 min. After careful consideration, we chose the SPM E group and the APM group, containing 48 test items. The test time of each test item was set to 15 s.

3.3. Labeling technique

Most current EEG databases are labeled based on self-report data from questionnaires, such as selfassessment manikin (SAM) [79]. This method depends on personal subjective feelings. The individual difference in subjective perception may result in nuance between the label and ground truth. Therefore, this labeling technique can not ensure the accuracy of labeling. We propose a subjective and objective joint labeling technique to provide reliable labels.

For CAL, we recorded the objective performance of all participants for each test item (Correct or Incorrect). We also asked participants to complete a questionnaire to report their confusion state for each test item. As shown in table 2, confusion-related states were labeled based on objective performance and self-reported perception. If the participant answered incorrectly and expressed confusion in the questionnaire, we labeled this emotional state as 'Confused'. If the participant answered correctly and did not

	Table 2. Pour categories of state			
	Self-assessment			
Performance	Did not feel confused	Felt confused		
Correct	Non-confused	Guess		
Incorrect	Think-right	Confused		

Table 2 Four estanceries of states

feel confused, we labeled the corresponding EEG as 'Non-confused'. Guessing behavior is common in examination situations, particularly when a student is unable to progress with a test item. In such cases, some students may use various tactics in an effort to arrive at the correct answer, such as looking for grammatical hints, eliminating unlikely choices, or choosing the opposite answer if other options seem similar. Others may simply choose an answer at random. These guessing behaviors can increase the chances of getting the right answer. In our experiment, we classified students' responses as 'Guess' if the student answered a test item correctly but showed confusion on the self-report questionnaire, and as 'Thinkright' if the student answered a test item incorrectly but showed no confusion. 'Think-right' means that the participants thought they were right, but they were not. Overall, the aim of our labeling technique is to ensure that the state is labeled as accurately as possible.

3.4. Smooth interaction

EEG signals are weak and easily contaminated by eye and body movement noises. Unlike other databases adopting video-based stimuli experiments, our experiment inevitably requires interaction with the computer during answering. We employ two ways to ensure the quality of the collected EEG data. One is to reduce unnecessary interactions. The user interface that we proposed only requires participants to respond with a few keys on the number keypad instead of moving the mouse to ensure that the EEG data are collected with the least noise. Thus, participants only needed to move their fingers to answer the test items. The other is to reduce unnecessary physical movement. The participants are required to keep their arms and main body still during experiments.

4. Experiment setup

4.1. Configuration and EEG recordings

The experiment system comprised three parts: EEG collector, confusion inducer, and data storage, as shown in figure 3. We employed OpenBCI as the EEG collector in this work, due to its wearable and high-quality bio-sensing hardware for brain-computer interfacing. It has eight channels (Fp1, Fp2, C3, C4, T5, T6, O1, O2) and a good sampling rate (250 Hz), providing the possibility of large-scale collection of EEG signals in learning study and application. We

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Trigger

Figure 4. The experiment procedure.

used one desktop to induce confused states and a laptop to connect with the EEG collector and store the data. The E-prime [80], a software for behavioral and psychological research, was employed to generate the stimuli and interaction. It also sent trigger signals for segmenting trials. We redeveloped the firmware and software of the OpenBCI Cyton Board, making it receive the trigger signals from DB25. When storing the data, the EEG waves were real-time sync visualized. This visualization could help testers monitor the experiment.

Frigge

Trigger

4.2. Subjects and experiment protocol

A total of 25 subjects participated in this experiment. We obtained 23 subjects' data because the unexpected equipment problem made failed collection for two persons. The ages were between 20 and 47 (mean = 24.48, SD = 6.36); the male to female ratio is roughly half to half (12:11). The education backgrounds covered middle school, undergraduate, master, and doctoral degrees, and the major included computer science, microelectronics, bioengineering, and British and American literature. All participants were in good health and had normal vision without any history of brain injury or mental illness.

The experiment testers explained the experiment's purpose, process, and precautions. After signed an ultimate consent form, subjects started to perform the tasks. As shown in figure 4, we first presented the manipulation instruction. When subjects were ready, they watched ten scene pictures, each of which lasted 10 s. Next to this, they viewed and performed 48 tests, each of which lasted a maximum of 15 s. The participants evaluated their own level of confusion for each test item at the end of the trials.

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Trigger

5. Confused emotion analysis

In this section, we first evaluate the induction and labeling of confusion and then analyze band power differences between confused and non-confused states.

5.1. Confusion elicitation analysis and labeling verification

Before performing further study, it is vital to determine whether the collected data satisfied the requirements that the subject gets confused when performing some tasks and whether the EEG data are labeled correctly. Thus, we analyze the data to investigate the following two aspects:



5.1.1. Confusion elicitation analysis

To know whether the elicitation met the requirements, we analyzed the number of test items that each participant felt confused about.

We calculated the number of test items that each participant felt confused about based on the data from their self-reported levels of confusion. In figure 5, the distribution of the self-assessment of confusion of all participants can be observed. The data of participant No. 1 and 6 were removed as we mentioned in section 4.2. Participants felt confused about at least 12 test items (i.e. participant No. 15) and at most 44 test items (i.e. participant No. 18). On average, each participant was confused by 22 test items (mean = 22.12). We also examined and counted the number of test items on which approximately half of the subjects (i.e. 12 participants) were confused. As shown in figure 5, we found that more than half of the participants were confused by 24 test items.

Overall, our induction avoids the possibility that the stimuli were designed to be challenging and confusing, but participants did not perceive them as such. The findings of the number of test items that each participant felt confused about demonstrated that confusion had been successfully triggered.

5.1.2. Labeling verification

To verify the effectiveness of proposed labeling technique, we analyzed the distribution of induced states, and compared confused and incorrect induced by each test.

First, we analyzed the distribution of induced states. As illustrated in figure 6, the pie chart depicts five states associated with the confusion emotion in the experiment. The definitions of four states, i.e. confused, non-confused, guess and think-right, are clarified in section 3.3. The term 'undefined' refers to the participants' error operations. Suppose that the participants are faced with two consecutive test items A and B. However, they ran out of time while answering test item A. As a result, the eliciting procedure



automatically moved on to test item B. The participants managed to answer test item B but not test item A. This led to incorrect answers for both test items. The response for test item A was incorrect because the participants did not finish it in time, and the response for test item B was incorrect due to a misclick. The time taken to answer test item B was less than one second, which suggests that the answer was not a result of thoughtful consideration but rather a participant's response lag. As a result, the EEG data for test item B is considered undefined.

The confused states account for approximately half of all test data (48%), reaching our goal of selecting more challenging test items to trigger the confused states of participants. The following smaller proportions are non-confused and think-right states, both at 22%. Participants subjectively conveyed their nonconfusion in these two situations, but in the first, they were aware that the issue had been resolved, and in the second, they believed it had. The 'think-right' state accounts for a relatively high proportion, demonstrating that people's tendency to make mistakes and have excessive self-confidence are not uncommon in the reasoning test. Since the individuals were instructed to concentrate on answering the test items



and to keep trying to solve the problems, there was very little guessing. The percentage of data categorized as 'undefined' is likewise extremely low.

Second, we compared the number of participants who gave wrong responses for each test item and the number of confused participants. As shown in figure 7, for each test, the number of participants who answered wrongly were not the same as the number of participants who struggled to find a solution and felt confused. This indicated that the data labeled as 'incorrect' nuanced with the data categorized as 'confused'.

Therefore, the distribution of induced states and comparison of confused and incorrect induced by each test demonstrated that our proposed subjective and objective joint labeling technique produced labels with a high degree of granularity and reliability.

Overall, the outcomes confirmed our expectations on triggering confusion and satisfy the level of difficulty in section 3.2. This demonstrates the success of our experiment, and the EEG data can be applied to additional analysis and recognition tasks.

5.2. Band power differences between confused and non-confused states

Brain activity distinctions between confused and non-confused states are a precondition for recognizing confusion in learning. However, little research has been conducted on the differences in band power between confused and non-confused states. To fill this gap, we hypothesize that there are significant differences between confused and non-confused states and assess the power of five typical bands. We calculated the band power of delta wave, theta wave, alpha wave, beta wave, and lower gamma wave for each trial. For band power, we filter each channel of the EEG data in five frequency bands: delta wave: 2–4 Hz, theta wave: 4–8 Hz, alpha wave: 8–12 Hz, beta wave: 12–30 Hz, and gamma wave: 30–70 Hz by fast Fourier transform filter. Power time courses were segmented in to 4 s. To tackle individual differences, we computed and compared the mean band power of confused and non-confused states across all corresponding trials. As shown in figure 8, it is obvious that in all bands, confusing emotion has a greater power than non-confused emotion. This showed that the confused state and non-confused state are different, and can be distinguished based on EEG signals.

Kolmogorov–Smirnov test of observed values (differences between scores) and visual inspections of their histograms, normal Q–Q plots and box plots, showed that band power value data were not normally distributed. Thus, we employed the Wilcoxon signed ranks test to analyze data. The results are presented in table 3. The findings indicated that for delta, theta, alpha, beta, and lower gamma band power, the difference reached significance throughout all eight electrode locations (Fp1, Fp2, C3, C4, T5, T6, O1, and O2) in the confused condition as compared to nonconfused condition.

6. The methodology of confusion recognition

We use two approaches to detect confusion: traditional machine learning and end-to-end techniques. The traditional machine learning approach consists of three steps: data pre-processing, feature extraction, and classification. The end-to-end methods work directly on the raw data. Pre-processing is performed using MNE and Scipy libraries, while feature extraction is done by us using Numpy and Scipy. The traditional machine learning algorithms include SVM [81], Random Forest [82], Bayes Network [83], and ANNs [84]. SVM, Random Forest, and Naive Bayes are implemented using the scikit-learn library. The SVM kernel function used is set to radial basis function, and the number of Random Forest trees is set to 80. The deep learning algorithms include long



short term memory (LSTM) [85], ResNet [86], and EEGNet [87], which are implemented using the Pytorch library and operate directly on raw data.

The present work adopt subject-dependent and subject-independent approaches and focused on two tasks:

		Conf	used	Non-co	Non-confused		Non-confused				
		М	SD	М	SD	Z	r	Sig.			
delta	Fp1	1292.86	332.35	840.50	197.48	-4.107	-0.821	0.000			
	Fp2	1316.04	329.48	852.49	168.10	-4.107	-0.821	0.000			
	C3	1198.54	220.08	803.65	158.94	-4.107	-0.821	0.000			
	C4	1271.77	244.22	840.76	201.84	-4.107	-0.821	0.000			
	T5	1097.21	342.27	737.53	237.39	-4.107	-0.821	0.000			
	T6	898.73	385.24	592.08	269.47	-4.107	-0.821	0.000			
	O1	934.34	240.55	629.04	191.40	-4.107	-0.821	0.000			
	O2	761.18	315.84	521.82	217.79	-4.107	-0.821	0.000			
theta	Fp1	656.06	175.24	454.46	110.32	-4.074	-0.815	0.000			
	Fp2	666.92	165.46	458.88	114.48	-4.107	-0.821	0.000			
	C3	762.43	200.62	513.42	134.95	-4.107	-0.821	0.000			
	C4	767.71	210.65	501.63	143.56	-4.107	-0.821	0.000			
	T5	615.08	239.12	411.40	165.41	-4.107	-0.821	0.000			
	T6	569.99	247.67	377.08	176.93	-4.107	-0.821	0.000			
	O1	520.04	202.20	352.49	152.44	-4.107	-0.821	0.000			
	O2	404.83	166.03	292.37	104.47	-4.107	-0.821	0.000			
alpha	Fp1	333.44	75.29	234.21	44.20	-4.107	-0.821	0.000			
	Fp2	319.87	60.49	220.35	41.76	-4.107	-0.821	0.000			
	C3	660.77	204.09	434.04	133.04	-4.107	-0.821	0.000			
	C4	689.11	216.65	430.19	147.50	-4.107	-0.821	0.000			
	T5	582.33	282.40	380.88	192.84	-4.074	-0.815	0.000			
	T6	590.72	306.97	381.50	210.43	-4.107	-0.821	0.000			
	01	512.32	273.99	356.88	223.68	-4.107	-0.821	0.000			
	02	367.27	207.60	260.32	144.34	-4.107	-0.821	0.000			
heta	Ep1	664 74	289.29	437.96	169.96	-4.107	-0.821	0.000			
betu	Fp2	642 55	255.85	400.16	151.49	-4.107	-0.821	0.000			
	C3	1274 75	438 35	822.17	261.35	-4.107	-0.821	0.000			
	C4	1338 24	415.18	829.94	279.31	-4 107	-0.821	0.000			
	T5	1381.67	710.47	910.12	492 79	-4.107	-0.821	0.000			
	T6	1357 54	699 31	892.86	492.60	-4.107	-0.821	0.000			
	01	1118.06	647.44	767.49	490.86	-4 107	-0.821	0.000			
	Ω^2	764.14	448.02	536.27	305.04	-4.107	-0.821	0.000			
lower gamma	En1	854.46	416.02	572.76	271 33	-4.107	-0.821	0.000			
iowei gainna	En2	846.25	350.75	527.43	271.55	4.107	0.821	0.000			
	1°P2 C3	1668.81	182 33	1107.14	222.30	-4.107	-0.821	0.000			
	C3	1602.51	402.JJ 513.80	107.14	275.47	-4.107	-0.821	0.000			
	C4 T⊑	2061 67	010.00	1320 22	507./1 672.27	-4.107	-0.021	0.000			
	13 T6	2001.07	910.00	1207.23	685 52	-4.107	-0.621	0.000			
	10	1760.00	907.22	1200.00	720.20	-4.107	-0.621	0.000			
	01	1/00.00	972.90 676.00	1230.77	130.29	-4.10/	-0.821	0.000			
	02	1031.92	0/0.90	/20.10	468.72	-4.074	-0.815	0.000			

Table 3. Wilcoxon signed ranks tests for EEG band power measures across conditions.

- (i) **Binary classification:** confused and non-confused;
- (ii) **Four-class classification:** confused, non-confused, think-right, and guess.

6.1. Pre-processing

Pre-processing is applied for the raw EEG before analysis since many noises, such as eye movements, blinks, muscle, heart, and line noise, can lead to severe problems for EEG interpretation and analysis. First, a bandpass filter between 1 Hz–70 Hz was applied to filter noise and artifacts. Second, a Notch filter was applied to remove 50 Hz harmonics caused by line noise or interference. Third, Independent Component Analysis was applied to remove eye movement and blink artifacts from EEG. Finally, the EEG data was divided into 4 s sliding windows in the light of literature [88–92]. The distribution of different categories is very different in CAL; for example, guess only accounts for only 4%, while confused accounts for almost half (48%). It will seriously affect the classification results. To tackle the issue of data imbalance, we set different length overlapping parts: 0.25 s for confused, 0.75 s for non-confused, 0.5 s for thinkright, and 0.75 s for guess.

6.2. Feature extraction

We extracted six features from three categories: time domain, frequency domain and spatial domain.

For temporal features, we adopt Hjorth parameters, including activity, mobility and complexity, and the time-domain energy [93]. Because these temporal feature data dimension are small, we put them

 Table 4. Binary classification results (Acc/F1 score).

Subject-dependent approach					
Feature	Naive Bayes	SVM	Random Forest	ANN	
Temporal mixed feature	55.49/0.53	50.71/0.33	88.06/0.88	66.00/0.65	
DE	50.71/0.33	50.71/0.33	50.71/0.33	58.64/0.56	
PSD	57.30/0.52	67.43/0.67	69.72/0.69	69.63/0.69	
Band power	53.39/0.51	58.73/0.54	77.17/0.76	72.97/0.72	
DASM	51.76/0.39	61.79/0.60	60.64/0.59	59.22/0.58	
RASM	51.19/0.35	51.19/0.35	60.84/0.59	58.74/0.57	

Table 5. Four classification results (Acc/F1 score).

Subject-dependent approach					
Feature	Naive Bayes	SVM	Random Forest	ANN	
Temporal mixed feature	38.35/0.29	34.24/0.13	73.41/0.72	41.90/0.29	
DE	33.60/0.12	33.60/0.12	47.34/0.38	33.61/ 0.12	
PSD	50.06/0.39	48.10/0.33	37.72/0.26	50.13/0.43	
Band power	61.45/0.53	38.16/0.21	36.13/0.25	51.14/0.40	
DASM	41.32/0.29	41.64/0.27	34.36/0.15	38.99/0.27	
RASM	42.84/0.32	33.73/0.33	33.92/0.13	38.29/0.25	

together as a mixed feature, called temporal mixed feature.

For frequency features, we select three types of features: differential entropy (DE) [94], power spectral density (PSD) [95] and band power. DE is defined as follows:

$$h_i(X) = \frac{1}{2}\log(2\pi e\sigma_i^2) \tag{1}$$

where h_i and σ_i^2 denote the DE of the corresponding EEG signal in frequency band *i* and the signal variance, respectively

PSD is computed by the Welch method in this work, defined as follows:

$$P(f) = \frac{1}{MU} \left| \sum_{n=0}^{M-1} x_i(n) w(n) e^{-j2\pi f} \right|^2$$
(2)

$$P_{\text{Welch}}(f) = \frac{1}{L} \sum_{i=0}^{L-1} P(f)$$
(3)

where M is interval length, U stands for normalization factor for power in window function.

The details of band power calculation are described in section 5.2.

For spatial features, we choose the two features: differential asymmetry (DASM) and rational asymmetry (RASM) [94]. DASM and RASM refers the differences and ratios between DE, defined as follows:

$$DASM = DE(X_i^{left}) - DE(X_i^{right})$$
(4)

$$RASM = DE(X_i^{left}) / DE(X_i^{right})$$
(5)

where X_i^{left} and X_i^{right} refers to electrode pairs. This work used Fp1-Fp2, C3-C4, T5-T6, and O1-O2 as pairs.

6.3. Subjects-dependent/independent approaches

Towards emotion recognition algorithm, there are two types of approaches: subject-dependent and subject-independent. In the subject-dependent model, the classifier is trained for each subject individually, while in the subject-independent model, the classifier is trained across multiple subjects. To fully evaluate CAL, we employ conventional machine learning and deep learning to explore binary classification tasks (confused, non-confused) and four classification tasks (confused, non-confused, thinkright, and guess) on subjects-dependent and subjectindependent approaches, respectively.

6.3.1. Subject-dependent results

In the subject-dependent approach, the EEG data of all trials for each subject were divided 70% for the train set and 30% for the test set. We adopt four mainstream classifiers for the classic machine learning methods: SVM, Random Forest, Bayes Network, and ANN, with features extracting spatial, frequency, and temporal domains as mentioned above.

The accuracy results on binary-class were presented in table 4. Random Forest with temporal mixed feature performs better than other machine learning algorithms, achieving 88.06% in accuracy and 0.88 in F1 score.

The performance of Random Forest with the time-domain feature (73.41%/0.72) is also better than other algorithms in four categories, as shown in table 5.

For end-to-end methods, EEGNet, ResNet, and LSTM were chosen to apply to CAL. Table 6 compares the results obtained from the binary and four classification tasks. What stands out in the table is that the ResNet achieved relatively higher accuracy (80.61%/0.80) in binary and four-class tasks.

 Table 6. End-to-end methods results (Acc/F1 score).

Subject-dependent approach				
Method	Binary classification	Four classification		
LSTM	73.45/0.73	53.29/0.49		
ResNet	80.61/0.80	73.10/0.73		
EEGNet	72.02/0.71	49.81/0.43		

Table 7. Binary classification results (Acc/F1 score).

Subject-independent approach					
Feature	Naive Bayes	SVM	Random Forest	ANN	
Temporal mixed feature	52.62/0.51	52.53/0.42	84.43/0.84	55.18/0.52	
DE	54.10/0.35	54.19/0.36	57.76/0.57	55.71/0.50	
PSD	60.59/0.55	55.71/0.53	55.98/0.52	57.59/0.57	
Band power	57.05/0.56	41.78/0.41	54.82/0.52	60.45/0.58	
DASM	43.48/0.35	50.35/0.48	48.57/0.46	52.05/0.49	
RASM	54.10/0.35	54.01/0.37	45.89/0.45	55.27/0.54	

Table 8. Four classification results (Acc/F1 score).						
Subject-independent approach						
Feature	Naive Bayes	SVM	Random Forest	ANN		
Temporal mixed feature	34.31/0.20	36.79/0.14	36.85/0.26	40.89/0.22		
DE	36.55/0.13	36.61/0.14	34.62/0.22	40.23/0.24		
PSD	40.83/0.27	38.05/0.31	35.94/0.25	40.17/0.27		
Band power	37.81/0.26	27.08/0.16	38.29/0.23	41.62/0.29		
DASM	30.45/0.19	34.13/0.19	29.37/0.14	38.12/0.20		
RASM	30.09/0.19	36.12/0.13	36.55/0.13	40.53/0.25		

Overall, for the subject-dependent approach, Random Forest with the time-domain feature did the best work in both the binary classification task with 88.06%/0.88 and the four classification tasks with 73.41%/0.72.

6.3.2. Subject-independent results

In the subject-independent approach, the data was divided into 70%/30% cross subjects. The data from 16 subjects were considered the training set, while the remaining data from 7 subjects were used to test. All the experiments setting were the same as the experiment setting of the subject-dependent approach.

For the binary classification tasks, the results from four conventional machine learning methods are shown in table 7. The accuracy of Random Forest with the time-domain feature achieved 84.43%/0.84, performing better than other machine learning algorithms.

For four-class tasks, we found that the result of ANN with band power achieved the best performance (41.62%/0.29), as shown in table 8.

Table 9 presents the results of the end-to-end methods. EEGnet and LSTM provided higher accuracy than other end-to-end methods in the binary classification task (64.46%/0.61) and four classification tasks (40.53%/0.24), respectively.

Table 9. End-to-end methods results (Acc/F1 score).

Subject-independent approach				
Method	Binary classification	Four classification		
LSTM	61.25/0.59	40.53/0.24		
ResNet	57.95/0.55	40.05/0.23		
EEGNet	64.46/0.61	39.14/0.20		

Overall, we achieved 84.43%/0.84 (Random Forest with the time-domain feature) for the subject-independent approach in the binary classification and 41.62%/0.29 (ANN with band power) in four-class tasks.

7. Discussion, limitations and future directions

In this section, we discussed with answering three research questions (RQs):.

- RQ1: How can the confusion be accurately triggered and labeled in reasoning tasks?
- RQ2: What does the EEG indicate when a learner becomes confused?
- RQ3: What is the best way to recognize confusion in the given situation?

Furthermore, limitations and future directions are also considered.

7.1. Discussion

7.1.1. How can the confusion be accurately triggered and labeled in reasoning tasks?

The theories that emphasize the importance of cognitive disequilibrium and confusion in learning served as the foundation for the present study. The goal was to use EEG to recognize and examine learners' confused states during pattern reasoning when they encounter cognitive disequilibrium and struggle to come up with a solution. Since this goal required a scenario that could trigger participants to get confused, we employed Raven's tests to build pattern reasoning tasks. The confusion induction was one focus of the first research question (RQ1). By examining how participants felt in the experiment, we found that tests made participants engage well, and the confusion had been successfully triggered. Tests were therefore shown to be active stimuli to invoke confusion. By varying the difficulty of test items and imposing a time limit on responses, researchers can trigger confusion as expected. Furthermore, the results showed that confusion occurs outside of knowledge absorption, which is sometimes referred to as knowledge input. It also occurs in the output stages, like in tasks requiring reasoning. Existing theories of confusion and cognitive disequilibrium were built upon scenarios of information inconsistency and problem-solving. The present study refines existing theories from the perspective of reasoning, particularly rule learning.

In this study, we did not simply assign two labels to the data using self-assessment: confused and non-confused. Instead, we proposed and employed a subjective and objective joint labeling technique and found five states related to confusion: confused, non-confused, think-right, guess and undefined. The 'think-right' state refers to a state that learners believed they were correct and but they answered incorrectly. By analyzing the distribution of induced states, we found that the 'think-right' states account for a high proportion. This finding was consistent with overconfidence is common among learners [96, 97]. Eva et al [97] found poor correlations between medical students' estimated knowledge and actual test scores. They concluded that self-assessment is a poor predictor of actual performance. This phenomenon emphasizes the significance of precise labeling. The students overestimate their abilities and performance, so they are not psychologically confused. However, not being confused should correspond to knowledge mastery and good performance in reasoning tasks. When the data is labeled as 'nonconfused' rather than 'think-right', the detection results are misleading, which make researchers, educators and practitioners think the learner was not impeded by the current materials. As a result, our

labeling method improves the label's accuracy for each state associated with confusion.

7.1.2. What does the EEG indicate when a learner becomes confused?

EEG has been used to detect learning confusion, but there are no definite signs that confused states differ from non-confused states. Through analyzing band power of delta, theta, alpha, beta and lower gamma, we found that the confused state shows a greater power than non-confused one. And there were significant differences between these two states on prefrontal, central, temporal and occipital locations. The findings clearly indicated that confused states can be distinguished from non-confused states in brain activity.

As a result of functional modulation during cognitive tasks, changes in brain oscillations take place in various EEG frequency bands. Theta activity is one of these task-related oscillations that has been linked to memory performance. Several studies have found that theta power increases as working memory load increases [98]. We observed that theta power was significantly higher in confused states compared with non-confused states on all eight electrodes. First, this indicated the confused state is more loaded than the non-confused, being in line with intuition. Second, this outcome was consistent with previous research that indicated a negative correlation between theta power and performance, with higher theta band power indicating lower performance [35]. Confused test takers did not provide the right response to the test item. Participants who were not confused provided accurate answers to the test items.

The attention-demanding to target stimuli can be represented by several frequency oscillations. We found that the alpha band power was significantly higher in the confused state than in the non-confused state, similar to the effects of the theta oscillation. The previously observed model only shows engagement as equilibrium and confusion as disequilibrium [1]. It was unclear whether there were differences in engagement and cognitive load between confused and nonconfused states. The study [39] shows that delta oscillations are associated with cognitive functions, including decision-making and attentional activities. We observed that the power of the delta wave for the confusion state is higher than for the non-confusion state at all eight electrodes. This is consistent with the finding of previous work [38, 99] that delta energy increases during mental computation. According to our findings on delta, theta and alpha, learning targets requires more attention and cognitive resources when an individual is confused.

Confusion is not an isolated learning emotion. It changes as other cognitive or affective states shift. Existing models on cognitive-affective process related to confusion do not reveal how the learners' attention and cognitive load vary when they feel confused or **IOP** Publishing

not. The present work fills this gap by exploring preliminarily the relationship of attention, cognitive load and confusion. Because the difficult level of tests used in this experiment were not progressively varied, we did not compare attention, cognitive load and confusion over time. To refine this cognitive-affective process related to confusion, we plan to investigate the confusion using the stimuli tests with progressively increasing difficulty in the future work.

7.1.3. What is the best way to recognize confusion in the given situation?

Since Picard [100] proposed 'affective computing' two decades ago, researchers have attempted to detect learning emotion in digital learning environments in order to understand the learners' states and deliver appropriate feedback based on such emotions [16, 101, 102]. These intelligent emotional-adaptive systems can increase not only performance but also the learning experience. Maintaining appropriate confusion, which represents cognitive disequilibrium, helps students learn more deeply and keeps them interested in learning at all times. Therefore, it is crucial to make confusion detection feasible and practical. Our findings and CAL provide practical implications for recognizing and analyzing confusion, as well as emotional-adaptive system. First, our CAL database can assist and encourage other researchers to evaluate their proposed methods or tools for recognizing students' confused states. Second, other researchers might compare confusion in various contexts using the EEG data from CAL, to develop or broaden the confusion theory. Third, our experiment showed how different features (time domain, frequency domain, and spatial domain) impacted the recognition results. To better understand the cognitive process and improve accuracy, it is important to find stable features, which is a focus for future research.

7.2. Limitations and future directions

The present study has several limitations that should be addressed in future investigations. First, we used a portable device to gather and detect EEG data because this study was for educational purposes. The portable device is based on the dry electrode system and is comfortable for users to wear for extended periods. Therefore, this type of apparatus is appropriate for research and practice in educational settings. However, because of the limited electrodes, it is impossible to examine the topography and networks of the brain using portable equipment. Hence, it is interesting to investigate these differences between confused and non-confused states using gel-based or sponge-based devices with 32 or 64 electrodes. This will contribute to a full comprehension of the characteristics of confused emotions.

Second, self-reports were obtained after performing the full test items. Because the experiment was short, participants had no difficulty recalling whether they were confused or not about each item. However, if the experiment lasts a long time, the validity is dependent on the participants' memory, which is outside the researcher's control. One option is to question the participant after each trial/test item. But this may cause problems, such as influencing learners' thinking and disrupting their flow. There is a compromise between extending experiment time and maintaining recall validity. Thus, pilot studies should be conducted to strike a balance.

The third limitation concerns the sensitivity of our labelling technique in eliminating other emotions. This technique allows states to be identified more accurately and therefore more states are labeled. However, the active emotion elicitation method we used in this study is naturalistic and similar to a real emotional event. It is generally difficult to manipulate accurately and results in a wider range of emotional responses from individuals [29]. Therefore, confusion may not be a single emotion during the experiment. To address this issue, we plan to improve the subjective scale in our future work and propose a novel scale to capture all emotions experienced by subjects during the task, as well as the arousal and dominance of these emotions.

Finally, we did not collect eye-tracking data. Eyetracking technology supports the direct and objective recording of learners' eye movements in real-time, allowing visual attentional processes to be observed, quantified, and analyzed [103–107]. Previous educational psychology studies commonly used eyetrackers to explore learners' attention, such as using an eye-tracker to analyze instructor presence in video lectures [108]. By eye-tracking, it is possible to figure out which part of the materials or interface causes the learners to feel confused. As a result, we plan to collect data from multiple sources (EEG, eye-tracking, and video) in our near future work.

8. Conclusion

Decades of research have proven the impact of confusion on learning, but little is known about its associated brain activities, the cognitive-affective intertwining processes, and also detection in reasoning. The present research empirically contributed to this area by investigating the confused states during reasoning, establishing that confused and non-confused states can be classified, exploring the relationship between attention, cognitive load and confusion at the level of brain, and providing an EEG database and benchmark results for recognizing confusion. It also contributed theoretically by expanding existing theories on the differences between confused and non-confused states when people learn, as well as the cognitive-affective model involving attention, cognitive load and confusion. The practical contribution of this work allows researchers, educators and practitioners to monitor confusion in their teaching environments, build their adaptive system, and test their proposed detection approaches.

Data availability statement

The data that support the findings of this study are openly available at the following URL/DOI: https:// intelligentinteractivelab.github.io/datasets/cal.

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Ethical statement

This research was approved by the Ethics Committee of the Faculty of Education at Shaanxi Normal University. The participants were volunteers who provided written informed consent. They were informed that they had the right to withdraw from the study at any time without penalty. Confidentiality was ensured by using numbers instead of names in the research database. Data were only used for research purposes. This study was performed in accordance with the 1964 declaration of HELSINKI and later amendments.

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